EE E6820: Speech & Audio Processing & Recognition Lecture 5: Speech modeling

#### Dan Ellis <dpwe@ee.columbia.edu> Michael Mandel <mim@ee.columbia.edu>

Columbia University Dept. of Electrical Engineering http://www.ee.columbia.edu/~dpwe/e6820

#### February 19, 2009

- Modeling speech signals
   Spectral and cepstral models
- 3 Linear predictive models (LPC)
- Other signal models
- 5 Speech synthesis

### Outline

#### Modeling speech signals

- 2 Spectral and cepstral models
- 3 Linear predictive models (LPC)
- Other signal models
- 5 Speech synthesis

### The speech signal



Elements of the speech signal

- spectral resonances (formants, moving)
- periodic excitation (voicing, pitched) + pitch contour
- noise excitation
- transients (stop-release bursts)
- amplitude modulation (nasals, approximants)
- timing!

#### The source-filter model

Notional separation of

- source: excitation, fine time-frequency structure
- filter: resonance, broad spectral structure



More a modeling approach than a single model

# Signal modeling

- Signal models are a kind of representation
  - to make some aspect explicit
  - for efficiency
  - for flexibility
- Nature of model depends on goal
  - classification: remove irrelevant details
  - coding/transmission: remove perceptual irrelevance
  - modification: isolate control parameters
- But commonalities emerge
  - perceptually irrelevant detail (coding) will also be irrelevant for classification
  - modification domain will usually reflect 'independent' perceptual attributes
  - getting at the abstract information in the signal

## Different influences for signal models

- Receiver
  - see how signal is treated by listeners
    - $\rightarrow$  cochlea-style filterbank models ...
- Transmitter (source)
  - physical vocal apparatus can generate only a limited range of signals . . .

 $\rightarrow~$  LPC models of vocal tract resonances

- Making explicit particular aspects
  - compact, separable correlates of resonances
    - → cepstrum
  - modeling prominent features of NB spectrogram
    - → sinusoid models
  - addressing unnaturalness in synthesis
    - $\rightarrow$  Harmonic+noise model

# Application of (speech) signal models

- Classification / matching Goal: highlight important information
  - speech recognition (lexical content)
  - speaker recognition (identity or class)
  - other signal classification
  - content-based retrieval
- Coding / transmission / storage Goal: represent just enough information
  - ▶ real-time transmission, *e.g.* mobile phones
  - archive storage, e.g. voicemail
- Modification / synthesis

Goal: change certain parts independently

- speech synthesis / text-to-speech (change the words)
- speech transformation / disguise (change the speaker)

### Outline



2 Spectral and cepstral models

3 Linear predictive models (LPC)

- Other signal models
- **5** Speech synthesis

#### Spectral and cepstral models

• Spectrogram seems like a good representation

- long history
- satisfying in use
- experts can 'read' the speech
- What is the information?
  - intensity in time-frequency cells
  - typically 5ms  $\times$  200 Hz  $\times$  50 dB
- $\rightarrow$  Discarded detail:
  - phase
  - fine-scale timing
  - The starting point for other representations

# Short-time Fourier transform (STFT) as filterbank

View spectrogram rows as coming from separate bandpass filters



Mathematically:

$$X[k, n_0] = \sum_n x[n]w[n - n_0] \exp\left(-j\frac{2\pi k(n - n_0)}{N}\right)$$
$$= \sum_n x[n]h_k[n_0 - n]$$

where 
$$h_k[n] = w[-n] \exp\left(j\frac{2\pi kn}{N}\right)$$

#### Spectral models: which bandpass filters?

- Constant bandwidth? (analog / FFT)
- But: cochlea physiology & critical bandwidths
  - $\rightarrow$  implement ear models with bandpass filters & choose bandwidths by e.g. CB estimates
- Auditory frequency scales
  - constant 'Q' (center freq / bandwidth), mel, Bark, ...



#### Gammatone filterbank

- Given bandwidths, which filter shapes?
  - match inferred temporal integration window
  - match inferred spectral shape (sharp high-freq slope)
  - keep it simple (since it's only approximate)
- $\rightarrow$  Gammatone filters
  - 2N poles, 2 zeros, low complexity
  - reasonable linear match to cochlea

$$h[n] = n^{N-1} e^{-bn} \cos(\omega_i n)$$





#### Constant-BW vs. cochlea model



Magnitude smoothed over 5-20 ms time window

### Limitations of spectral models

Not much data thrown away

- just fine phase / time structure (smoothing)
- little actual 'modeling'
- still a large representation
- Little separation of features
  - e.g. formants and pitch
- Highly correlated features
  - modifications affect multiple parameters
- But, quite easy to reconstruct
  - iterative reconstruction of lost phase

### The cepstrum

• Original motivation: assume a source-filter model:



- Define 'Homomorphic deconvolution': source-filter convolution g[n]
  - $\mathsf{FT} \to \mathsf{product}$

$$\log \rightarrow sum$$

$$\mathsf{IFT} \to \mathsf{separate}$$
 fine structure

= deconvolution

Definition

Real cepstrum  $c_n = idft(log |dft(x[n])|)$ 

$$g[n] * h[n]$$
  

$$G(e^{j\omega})H(e^{j\omega})$$
  

$$\log G(e^{j\omega}) + \log H(e^{j\omega})$$
  

$$c_g[n] + c_h[n]$$

# Stages in cepstral deconvolution

- Original waveform has excitation fine structure convolved with resonances
- DFT shows harmonics modulated by resonances
- Log DFT is sum of harmonic 'comb' and resonant bumps
- IDFT separates out resonant bumps (low quefrency) and regular, fine structure ('pitch pulse')
- Selecting low-n cepstrum separates resonance information (deconvolution / 'liftering')



#### Properties of the cepstrum

- Separate source (fine) from filter (broad structure)
  - smooth the log magnitude spectrum to get resonances
- Smoothing spectrum is filtering along frequency
  - i.e. convolution applied in Fourier domain
  - → multiplication in IFT ('liftering')
- Periodicity in time → harmonics in spectrum → 'pitch pulse' in high-n cepstrum
- Low-n cepstral coefficients are DCT of broad filter / resonance shape

$$c_n = \int \log \left| X(e^{j\omega}) \right| (\cos n\omega + j \sin n\omega) \, d\omega$$



## Aside: correlation of elements

- Cepstrum is popular in speech recognition
  - feature vector elements are decorrelated



- c<sub>0</sub> 'normalizes out' average log energy
- Decorrelated pdfs fit diagonal Gaussians
  - simple correlation is a waste of parameters
- DCT is close to PCA for (mel) spectra?

### Outline



- 2 Spectral and cepstral models
- 3 Linear predictive models (LPC)
- Other signal models
- 5 Speech synthesis

# Linear predictive modeling (LPC)

- LPC is a very successful speech model
  - it is mathematically efficient (IIR filters)
  - it is remarkably accurate for voice (fits source-filter distinction)
  - it has a satisfying physical interpretation (resonances)
- Basic math
  - model output as linear function of prior outputs:

$$s[n] = \left(\sum_{k=1}^{p} a_k s[n-k]\right) + e[n]$$

... hence "linear prediction" (p<sup>th</sup> order)
 e[n] is excitation (input), AKA prediction error

$$\Rightarrow \frac{S(z)}{E(z)} = \frac{1}{1 - \sum_{k=1}^{p} a_k z^{-k}} = \frac{1}{A(z)}$$

... all-pole modeling, 'autoregression' (AR) model

### Vocal tract motivation for LPC

• Direct expression of source-filter model

$$s[n] = \left(\sum_{k=1}^{p} a_k s[n-k]\right) + e[n]$$
Pulse/noise
excitation
$$e[n] \qquad \bigvee ocal tract$$

$$H(z) = \frac{1}{A(z)} \quad s[n]$$

$$H(z) = \frac{1}{A(z)} \quad f(z) = \frac{1}{A(z)}$$

- Acoustic tube models suggest all-pole model for vocal tract
- Relatively slowly-changing
  - update A(z) every 10-20 ms
- Not perfect: Nasals introduce zeros

# Estimating LPC parameters

• Minimize short-time squared prediction error

$$E = \sum_{n=1}^{m} e^{2}[n] = \sum_{n} \left( s[n] - \sum_{k=1}^{p} a_{k} s[n-k] \right)^{2}$$

• Differentiate w.r.t.  $a_k$  to get equations for each k:

$$0 = \sum_{n} 2\left(s[n] - \sum_{j=1}^{p} a_j s[n-j]\right) \left(-s[n-k]\right)$$
$$\sum_{n} s[n]s[n-k] = \sum_{j} a_j \sum_{n} s[n-j]s[n-k]$$
$$\phi(0,k) = \sum_{j} a_j \phi(j,k)$$

- where  $\phi(j,k) = \sum_{n=1}^{m} s[n-j]s[n-k]$  are correlation coefficients
  - p linear equations to solve for all  $a_j s \dots$

#### Evaluating parameters

- Linear equations  $\phi(0,k) = \sum_{j=1}^{p} a_j \phi(j,k)$
- If *s*[*n*] is assumed to be zero outside of some window

$$\phi(j,k) = \sum_{n} s[n-j]s[n-k] = r_{ss}(|j-k|)$$

•  $r_{ss}(\tau)$  is autocorrelation

• Hence equations become:

$$\begin{bmatrix} r(1) \\ r(2) \\ \vdots \\ r(p) \end{bmatrix} = \begin{bmatrix} r(0) & r(1) & \cdots & r(p-1) \\ r(1) & r(2) & \cdots & r(p-2) \\ \vdots & \vdots & \ddots & \vdots \\ r(p-1) & r(p-2) & \cdots & r(0) \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \\ \vdots \\ a_p \end{bmatrix}$$

- Toeplitz matrix (equal antidiagonals)  $\rightarrow$  can use Durbin recursion to solve
- (Solve full  $\phi(j, k)$  via Cholesky)

# LPC illustration



Actual poles



E6820 (Ellis & Mandel)

# Interpreting LPC

- Picking out resonances
  - if signal really was source + all-pole resonances, LPC should find the resonances
- Least-squares fit to spectrum
  - ▶ minimizing e<sup>2</sup>[n] in time domain is the same as minimizing E<sup>2</sup>(e<sup>jω</sup>) by Parseval
  - $\rightarrow~$  close fit to spectral peaks; valleys don't matter
- Removing smooth variation in spectrum
  - $\frac{1}{A(z)}$  is a low-order approximation to S(z)
  - $\blacktriangleright \quad \frac{S(z)}{E(z)} = \frac{1}{A(z)}$
  - hence, residual E(z) = A(z)S(z) is a 'flat' version of S
- Signal whitening:
  - white noise (independent x[n]s) has flat spectrum
  - $\rightarrow\,$  whitening removes temporal correlation

### Alternative LPC representations

• Many alternate *p*-dimensional representations

- ▶ coefficients {a<sub>j</sub>}
- roots  $\{\lambda_j\}$ :  $\prod (1 \lambda_j z^{-j}) = 1 \sum a_j z^{-1}$
- line spectrum frequencies.
- reflection coefficients  $\{k_j\}$  from lattice form
- tube model log area ratios  $g_j = \log\left(\frac{1-k_j}{1+k_j}\right)$
- Choice depends on:
  - mathematical convenience / complexity
  - quantization sensitivity
  - ease of guaranteeing stability
  - what is made explicit
  - distributions as statistics

# LPC applications

- Analysis-synthesis (coding, transmission)
  - $S(z) = \frac{E(z)}{A(z)}$  hence can reconstruct by filtering e[n] with  $\{a_j\}$ s
  - whitened, decorrelated, minimized e[n]s are easy to quantize
  - $\ldots$  or can model e[n] e.g. as simple pulse train
- Recognition / classification
  - LPC fit responds to spectral peaks (formants)
  - can use for recognition (convert to cepstra?)
- Modification
  - separating source and filter supports cross-synthesis
  - pole / resonance model supports 'warping'
    - $\textit{e.g.} male \rightarrow \text{female}$

### Aside: Formant tracking

- Formants carry (most?) linguistic information
- Why not classify  $\rightarrow$  speech recognition?
  - $\emph{e.g.}$  local maxima in cepstral-liftered spectrum pole frequencies in LPC fit
- But: recognition needs to work in all circumstances
  - formants can be obscured or undefined



 $\rightarrow$  need more graceful, robust parameters

### Outline



- 2 Spectral and cepstral models
- 3 Linear predictive models (LPC)
- Other signal models
- 5 Speech synthesis

# Sinusoid modeling

- Early signal models required low complexity *e.g.* LPC
- Advances in hardware open new possibilities...
- NB spectrogram suggests harmonics model



- 'important' info in 2D surface is set of tracks?
- harmonic tracks have ~smooth properties
- straightforward resynthesis

#### Sine wave models

Model sound as sum of AM/FM sinusoids

$$s[n] = \sum_{k=1}^{N[n]} A_k[n] \cos(n \,\omega_k[n] + \phi_k[n])$$

- $A_k$ ,  $\omega_k$ ,  $\phi_k$  piecewise linear or constant
- can enforce harmonicity:  $\omega_k = k\omega_0$
- Extract parameters directly from STFT frames:



- ▶ find local maxima of |S[k, n]| along frequency
- track birth/death and correspondence

## Finding sinusoid peaks

Look for local maxima along DFT frame
 *i.e.* |s[k − 1, n]| < |S[k, n]| > |S[k + 1, n]|

#### • Want exact frequency of implied sinusoid

- DFT is normally quantized quite coarsely
- e.g. 4000 Hz / 256 bands = 15.6 Hz/band



may also need interpolated unwrapped phase

• Or, use differential of phase along time (pvoc):

$$\omega = rac{a\dot{b} - b\dot{a}}{a^2 + b^2}$$
 where  $S[k, n] = a + jb$ 

### Sinewave modeling applications

- Modification (interpolation) and synthesis
  - connecting arbitrary  $\omega$  and  $\phi$  requires cubic phase interpolation (because  $\omega = \dot{\phi}$ )
- Types of modification
  - time and frequency scale modification
    - ... with or without changing formant envelope
  - concatenation / smoothing boundaries
  - phase realignment (for crest reduction)
- Non-harmonic signals? OK-ish



#### Harmonics + noise model

- Motivation to improve sinusoid model because
  - problems with analysis of real (noisy) signals
  - problems with synthesis quality (esp. noise)
  - perceptual suspicions
- Model

$$s[n] = \sum_{k=1}^{N[n]} \underbrace{A_k[n] \cos(nk\omega_0[n])}_{\text{Harmonics}} + \underbrace{e[n](h_n[n] * b[n])}_{\text{Noise}}$$

- sinusoids are forced to be harmonic
- remainder is filtered and time-shaped noise
- 'Break frequency'  $F_m[n]$  between H and N



#### HNM analysis and synthesis

Dynamically adjust  $F_m[n]$  based on 'harmonic test':



Noise has envelopes in time e[n] and frequency  $H_n$ 



• reconstruct bursts / synchronize to pitch pulses

L5: Speech modeling

### Outline



- 2 Spectral and cepstral models
- 3 Linear predictive models (LPC)
- Other signal models
- 5 Speech synthesis

# Speech synthesis

- One thing you can do with models
- Synthesis easier than recognition?
  - listeners do the work
  - ... but listeners are very critical
- Overview of synthesis



- normalization disambiguates text (abbreviations)
- phonetic realization from pronunciation dictionary
- prosodic synthesis by rule (timing, pitch contour)
- ... all control waveform generation

## Source-filter synthesis

Flexibility of source-filter model is ideal for speech synthesis



Excitation source issues

- voiced / unvoiced / mixture ([th] etc.)
- pitch cycles of voiced segments
- glottal pulse shape  $\rightarrow$  voice quality?

#### Vocal tract modeling

Simplest idea: store a single VT model for each phoneme



• but discontinuities are very unnatural

Improve by smoothing between templates



• trick is finding the right domain

#### Cepstrum-based synthesis

- Low-n cepstrum is compact model of target spectrum
- Can invert to get actual VT IR waveforms:

$$c_n = idft(\log |dft(x[n])|)$$
  
 $\Rightarrow h[n] = idft(exp(dft(c_n)))$ 

- All-zero (FIR) VT response
  - $\rightarrow$  can pre-convolve with glottal pulses



cross-fading between templates OK

# LPC-based synthesis

- Very compact representation of target spectra
  - 3 or 4 pole pairs per template
- Low-order IIR filter  $\rightarrow$  very efficient synthesis
- How to interpolate?
  - cannot just interpolate a<sub>i</sub> in a running filter
  - but lattice filter has better-behaved interpolation



- What to use for excitation
  - residual from original analysis
  - reconstructed periodic pulse train
  - parametrized residual resynthesis

# Diphone synethsis

- Problems in phone-concatenation synthesis
  - phonemes are context-dependent
  - coarticulation is complex
  - transitions are critical to perception
- $\rightarrow\,$  store transitions instead of just phonemes



- $\sim$  40 phones  $\Rightarrow$   $\sim$  800 diphones
- or even more context if have larger database
- How to splice diphones together?
  - TD-PSOLA: align pitch pulses and cross fade
  - MBROLA: normalized multiband

# HNM synthesis

- High quality resynthesis of real diphone units + parametric representation for modification
  - pitch, timing modifications
  - removal of discontinuities at boundaries
- Synthesis procedure
  - linguistic processing gives phones, pitch, timing
  - database search gives best-matching units
  - use HNM to fine-tune pitch and timing
  - cross-fade  $A_k$  and  $\omega_0$  parameters at boundaries



- Careful preparation of database is key
  - sine models allow phase alignment of all units
  - larger database improves unit match

### Generating prosody

- The real factor limiting speech synthesis?
- Waveform synthesizers have inputs for
  - intensity (stress)
  - duration (phrasing)
  - fundamental frequency (pitch)
- Curves produced by superposition of (many) inferred linguistic rules
  - phrase final lengthening, unstressed shortening, ...



• Or learn rules from transcribed elements

E6820 (Ellis & Mandel)

## Summary

- Range of models
  - spectral, cepstral
  - LPC, sinusoid, HNM
- Range of applications
  - general spectral shape (filterbank)  $\rightarrow$  ASR
  - precise description (LPC + residual)  $\rightarrow$  coding
  - ▶ pitch, time modification (HNM)  $\rightarrow$  synthesis
- Issues
  - performance vs computational complexity
  - generality vs accuracy
  - representation size vs quality

#### Parting thought

not all parameters are created equal...

#### References

- Alan V. Oppenheim. Speech analysis-synthesis system based on homomorphic filtering. *The Journal of the Acoustical Society of America*, 45(1):309–309, 1969.
- J. Makhoul. Linear prediction: A tutorial review. Proceedings of the IEEE, 63(4): 561–580, 1975.
- Bishnu S. Atal and Suzanne L. Hanauer. Speech analysis and synthesis by linear prediction of the speech wave. *The Journal of the Acoustical Society of America*, 50(2B):637–655, 1971.
- J.E. Markel and AH Gray. *Linear Prediction of Speech*. Springer-Verlag New York, Inc., Secaucus, NJ, USA, 1982.
- R. McAulay and T. Quatieri. Speech analysis/synthesis based on a sinusoidal representation. Acoustics, Speech, and Signal Processing [see also IEEE Transactions on Signal Processing], IEEE Transactions on, 34(4):744–754, 1986.
- Wael Hamza, Ellen Eide, Raimo Bakis, Michael Picheny, and John Pitrelli. The IBM expressive speech synthesis system. In *INTERSPEECH*, pages 2577–2580, October 2004.