## EE E6820: Speech \& Audio Processing \& Recognition

## Lecture 4: Auditory Perception

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(1) Motivation: Why \& how
(2) Auditory physiology
(3) Psychophysics: Detection \& discrimination
(4) Pitch perception
(5) Speech perception
(6) Auditory organization \& Scene analysis

## Outline

(1) Motivation: Why \& how

2 Auditory physiology

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## Why study perception?

- Perception is messy: can we avoid it?

No!

- Audition provides the 'ground truth' in audio
- what is relevant and irrelevant
- subjective importance of distortion (coding etc.)
- (there could be other information in sound...)
- Some sounds are 'designed' for audition
- co-evolution of speech and hearing
- The auditory system is very successful
- we would do extremely well to duplicate it
- We are now able to model complex systems
- faster computers, bigger memories


## How to study perception?

Three different approaches:

- Analyze the example: physiology

- dissection \& nerve recordings
- Black box input/output: psychophysics

- fit simple models of simple functions
- Information processing models
- investigate and model complex functions
e.g. scene analysis, speech perception


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## Physiology

- Processing chain from air to brain:

- Study via:
- anatomy
- nerve recordings
- Signals flow in both directions


## Outer \& middle ear



- Pinna 'horn'
- complex reflections give spatial (elevation) cues
- Ear canal
- acoustic tube
- Middle ear
- bones provide impedance matching


## Inner ear: Cochlea




- Mechanical input from middle ear starts traveling wave moving down Basilar membrane
- Varying stiffness and mass of BM results in continuous variation of resonant frequency
- At resonance, traveling wave energy is dissipated in BM vibration
- Frequency (Fourier) analysis


## Cochlea hair cells

- Ear converts sound to BM motion
- each point on BM corresponds to a frequency

- Hair cells on BM convert motion into nerve impulses (firings)
- Inner Hair Cells detect motion
- Outer Hair Cells? Variable damping?


## Inner Hair Cells

- IHCs convert BM vibration into nerve firings
- Human ear has $\sim 3500$ IHCs
- each IHC has $\sim 7$ connections to Auditory Nerve
- Each nerve fires (sometimes) near peak displacement

- Histogram to get firing probability



## Auditory nerve (AN) signals

Single nerve measurements


Rate vs intensity


Hard to measure: probe living ANs?

## AN population response

All the information the brain has about sound

- average rate \& spike timings on 30,000 fibers


Not unlike a (constant-Q) spectrogram


## Beyond the auditory nerve



- Ascending and descending
- Tonotopic $\times$ ?
- modulation, position, source??


## Periphery models



- Modeled aspects
- outer / middle ear
- hair cell transduction
- cochlea filtering

- efferent feedback?

Results: 'neurogram' / 'cochleagram'

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## Psychophysics

- Physiology looks at the implementation Psychology looks at the function/behavior
- Analyze audition as signal detection: $p(\theta \mid x)$
- psychological tests reflect internal decisions
- assume optimal decision process
- infer nature of internal representations, noise, ...
$\rightarrow$ lower bounds on more complex functions
- Different aspects to measure
- time, frequency, intensity
- tones, complexes, noise
- binaural
- pitch, detuning


## Basic psychophysics

- Relate physical and perceptual variables
e.g. intensity $\rightarrow$ loudness
frequency $\rightarrow$ pitch
- Methodology: subject tests
- just noticeable difference (JND)
- magnitude scaling e.g. "adjust to twice as loud"
- Results for Intensity vs Loudness:

Weber's law $\Delta I \propto I \Rightarrow \log (L)=k \log (I)$


$$
\begin{aligned}
\log _{2}(L) & =0.3 \log _{2}(I) \\
& =0.3 \frac{\log _{10} I}{\log _{10} 2} \\
& =\frac{0.3}{\log _{10} 2} \frac{\mathrm{~dB}}{10} \\
& =\mathrm{dB} / 10
\end{aligned}
$$

## Loudness as a function of frequency

Fletcher-Munsen equal-loudness curves


## Loudness as a function of bandwidth

- Same total energy, different distribution e.g. 2 channels at -6 dB (not -10 dB )

- Critical bands: independent frequency channels
- ~25 total (4-6 / octave)


## Simultaneous masking

A louder tone can 'mask' the perception of a second tone nearby in frequency:


Suggests an 'internal noise' model:


## Sequential masking

Backward/forward in time:

$\rightarrow$ Time-frequency masking 'skirt':


## What we do and don't hear



- Timing: 2 ms attack resolution, 20 ms discrimination
- but: spectral splatter
- Tuning: $\sim 1 \%$ discrimination
- but: beats
- Spectrum: profile changes, formants
- variables time-frequency resolution
- Harmonic phase?
- Noisy signals \& texture
- (Trace vs categorical memory)


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## Pitch perception: a classic argument in psychophysics

- Harmonic complexes are a pattern on AN

- but give a fused percept (ecological)
- What determines the pitch percept?
- not the fundamental
- How is it computed?

Two competing models: place and time

## Place model of pitch

- AN excitation pattern shows individual peaks
- 'Pattern matching' method to find pitch

- Support: Low harmonics are very important
- But: Flat-spectrum noise can carry pitch


## Time model of pitch

- Timing information is preserved in AN down to $\sim 1 \mathrm{~ms}$ scale
- Extract periodicity by e.g. autocorrelation and combine across frequency channels

- But: HF gives weak pitch (in practice)


## Alternate \& competing cues

- Pitch perception could rely on various cues
- average excitation pattern
- summary autocorrelation
- more complex pattern matching
- Relying on just one cue is brittle
- e.g. missing fundamental
$\rightarrow$ Perceptual system appears to use a flexible, opportunistic combination
- Optimal detector justification?

$$
\begin{aligned}
\underset{\theta}{\operatorname{argmax}} p(\theta \mid \mathbf{x}) & =\underset{\theta}{\operatorname{argmax}} p(\mathbf{x} \mid \theta) p(\theta) \\
& =\underset{\theta}{\operatorname{argmax}} p\left(x_{1} \mid \theta\right) p\left(x_{2} \mid \theta\right) p(\theta)
\end{aligned}
$$

- if $x_{1}$ and $x_{2}$ are conditionally independent


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## Speech perception

- Highly specialized function
- subsequent to source organization?
... but also can interact
- Kinds of speech sounds



## Cues to phoneme perception

Linguists describe speech with phonemes


Acoustic-phoneticians describe phonemes by

- formants \& transitions

- bursts \& onset times



## Categorical perception

- (Some) speech sounds perceived categorically rather than analogically
- e.g. stop-burst and timing:


- tokens within category are hard to distinguish
- category boundaries are very sharp
- Categories are learned for native tongue
- "merry" / "Mary" / "marry"


## Where is the information in speech?

'Articulation' of high/low-pass filtered speech:


- sums to more than $1 .$. .

Speech message is highly redundant
e.g. constraints of language, context
$\rightarrow$ listeners can understand with very few cues

## Top-down influences: Phonemic restoration (Warren, 1970)

What if a noise burst obscures speech?


- auditory system 'restores' the missing phoneme
. . . based on semantic content
...even in retrospect
Subjects are typically unaware of which sounds are restored


## A predisposition for speech: Sinewave replicas

Replace each formant with a single sinusoid (Remez et al., 1981)


- speech is (somewhat) intelligible
- people hear both whistles and speech ("duplex")
- processed as speech despite un-speech-like

What does it take to be speech?

## Simultaneous vowels

Mix synthetic vowels with different $f_{0} s$


DV identification vs. $\Delta \mathrm{f}_{0}$ (200ms) (Culling \& Darwin 1993)

Pitch difference helps (though not necessarily)


## Computational models of speech perception

- Various theoretical-practical models of speech comprehension e.g.

- Open questions:
- mechanism of phoneme classification
- mechanism of lexical recall
- mechanism of grammar constraints
- ASR is a practical implementation (?)


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## Auditory organization

- Detection model is huge simplification
- The real role of hearing is much more general: Recover useful information from the outside world
$\rightarrow$ Sound organization into events and sources

- Research questions:
- what determines perception of sources?
- how do humans separate mixtures?
- how much can we tell about a source?


## Auditory scene analysis: simultaneous fusion

- Harmonics are distinct on AN, but perceived as one sound ("fused")

- depends on common onset
- depends on harmonicity (common period)
- Methodologies:
- ask subject how many 'objects'
- match attributes e.g. object pitch
- manipulate high level e.g. vowel identity


## Sequential grouping: streaming

- Pattern / rhythm: property of a set of objects
- subsequent to fusion $\because$ employs fused events?

- Measure by relative timing judgments
- cannot compare between streams
- Separate 'coherence' and 'fusion' boundaries
- Can interact and compete with fusion


## Continuity and restoration

- Tone is interrupted by noise burst: what happened?

time

- masking makes tone undetectable during noise
- Need to infer most probable real-world events
- observation equally likely for either explanation
- prior on continuous tone much higher $\Rightarrow$ choose
- Top-down influence on perceived events...


## Models of auditory organization

Psychological accounts suggest bottom-up


- Brown and Cooke (1994)

Complicated in practice

- formation of separate elements
- contradictory cues
- influence of top-down constraints (context, expectations, ...)


## Summary

- Auditory perception provides the 'ground truth' underlying audio processing
- Physiology specifies information available
- Psychophysics measure basic sensitivities
- Sounds sources require further organization
- Strong contextual effects in speech perception


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## References

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[^0]:    Parting thought
    Is pitch central to communication? Why?

